



Receipt #3

UV-207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William L. Thomas et al.  
Application No.: 09/973,976 Confirmation No.: 9820  
Filed : October 9, 2001  
For : SYSTEMS AND METHODS FOR MANAGING THE  
DISTRIBUTION OF ON-DEMAND MEDIA  
Group Art Unit : 2613  
Examiner : Not yet assigned

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This Request is filed in accordance with 37 C.F.R. § 1.10(c) to correct the filing date accorded to the above-identified patent application. Enclosed is a marked-up copy of a Filing Receipt issued by the U.S. Patent and Trademark Office in connection with this application. Also enclosed is a copy of the "Express Mail" mailing label for this application and copies of each document in connection with this application on which the number of the "Express Mail" mailing label was placed (i.e., the postcard, the first page of the Transmittal Letter, the Nonpublication Request, the first page of the specification, the first page of the unexecuted Declaration, and the first sheet of the drawings).

As shown in red ink on the enclosed marked-up copy of the Filing Receipt, this application has been incorrectly accorded a filing date of "October 11, 2001." As shown on the enclosed copy of the "Express Mail" mailing label, the United States Postal Service stamped a date of deposit of "October 9, 2001" on the reverse side of the mailing label. The enclosed copies of various application papers show that the number of the "Express Mail" mailing label was placed on each piece of correspondence prior to the original mailing of this application.

In accordance with 37 C.F.R. § 1.10(c), Applicants respectfully request that the U.S. Patent and Trademark Office issue a corrected Filing Receipt in which the filing date of "October 9, 2001" is accorded to this application.

The error in the Filing Receipt is believed to be the responsibility of the U.S. Patent and Trademark Office. If for any reason the U.S. Patent and Trademark Office deems the error to be Applicants' responsibility, the Director is hereby authorized to charge any fee that

may be due in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

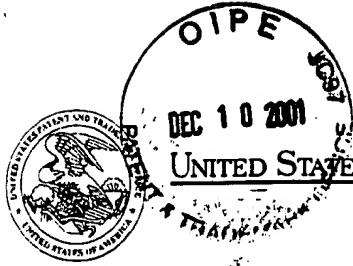
Respectfully submitted,



Laura A. Sheridan  
Registration No. 48,446  
Agent for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000  
Fax : (212) 596-9090

I hereby Certify that this  
Correspondence is being  
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COMMISSIONER FOR  
PATENTS - P. O. Box 2327  
ARLINGTON, VA 22202 on

December 4, 2001  
Claire J. Saintil  
Laura A. Sheridan  
Signature of Person Signing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/973,976	10/11/2001	2613	2576	UV-207	36	108	6

10/9/2001

CONFIRMATION NO. 9820

FILING RECEIPT



\*OC00000007052362\*

Laura A. Sheridan  
Fish and Neave  
1251 Avenue of the Americas  
New York, NY 10020

Date Mailed: 11/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

William L. Thomas, Bixby, OK;  
Michael D. Ellis, Boulder, CO;  
David M. Berezowski, Tulsa, OK;  
Kevin B. Easterbrook, Monument, CO;  
Joseph P. Baumgartner, Tulsa, OK;  
W. Benjamin Herrington, Tulsa, OK;

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NOV 16 2001

RECORDED BY *[Signature]*  
NOTED BY *[Signature]*

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/239,522 10/11/2000  
AND CLAIMS BENEFIT OF 60/252,171 11/20/2000  
AND CLAIMS BENEFIT OF 60/270,351 02/21/2001

**Foreign Applications**

**If Required, Foreign Filing License Granted 11/09/2001**

**Projected Publication Date: Request for Non-Publication Acknowledged**

**Non-Publication Request: Yes**

**Early Publication Request: No**

**Title**

## Systems and methods for managing the distribution of on-demand media

Preliminary Class -

348

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

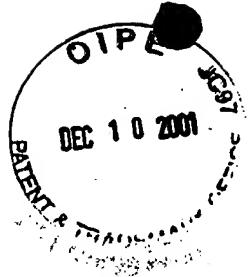
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PATENTS  
Attorney Docket No. UV-207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : William L. Thomas et al.  
Filed : Concurrently Herewith  
For : SYSTEMS AND METHODS FOR MANAGING THE  
DISTRIBUTION OF ON-DEMAND MEDIA

New York, New York 10020  
October 9, 2001

Commissioner for Patents  
Washington, D.C. 20231

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

Laura A. Sheridan  
Registration No. 48,446  
Agent for Applicants  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000  
Fax : (212) 596-9090

REV. 10/01  
For Other Than A Small Entity



Attorney Docket No. UV-207

Applicants : William L. Thomas et al.

For : SYSTEMS AND METHODS FOR MANAGING THE  
DISTRIBUTION OF ON-DEMAND MEDIA

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EF230792255US.

Date of Deposit October 9, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

Lillian Garcia  
Lillian Garcia

Commissioner for Patents  
Washington, D.C. 20231

New York, New York 10020  
October 9, 2001

TRANSMITTAL LETTER FOR UNEXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] unexecuted declaration, for the above-identified patent application.

Also transmitted herewith are:

[X] 36 sheets of:

[ ] Formal drawings.

[X] Informal drawings. Formal drawings will be filed during the pendency of this application.